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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AUS9-2000-0570-US1

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

Geoffrey Owen Blandy and
Andrew JohnsonFor: APPARATUS AND METHOD FOR AVOIDING DEADLOCKS IN A
MULTITHREADED ENVIRONMENT

Enclosed are also:

- ☒ 23 Pages of Specification including an Abstract
☒ 6 Pages of Claims
☒ 7 Sheet(s) of Drawings
☒ A Declaration and Power of Attorney
☒ Form PTO 1595 and assignment of the invention to IBM Corporation

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$690)
Total Claims	30	-20 =	10	X	\$ 18	=	\$180.00
Independent Claims	3	-3 =	0	X	\$ 78	=	\$0.00
Multiple Dependent Claims	0			X	\$260	=	\$
Total Filing Fee							= \$870.00

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Docket No. AUS9-2000-0570-US1

**APPARATUS AND METHOD FOR AVOIDING DEADLOCKS IN A
MULTITHREADED ENVIRONMENT**

RELATED APPLICATIONS

5 The present invention is related to commonly
assigned and co-pending U.S. Patent Applications _____
(Attorney Docket No. AUS9-2000-0569) entitled "APPARATUS
AND METHODS FOR IMPROVED DEVIRTUALIZATION OF METHOD
CALLS", _____ (Attorney Docket No. AUS9-2000-0572)
10 entitled "APPARATUS AND METHOD FOR IMPLEMENTING SWITCH
INSTRUCTIONS IN AN IA64 ARCHITECTURE", _____ (Attorney
Docket No. AUS9-2000-0573) entitled "APPARATUS AND METHOD
FOR DETECTING AND HANDLING EXCEPTIONS", _____ (Attorney
Docket No. AUS9-2000-0584) entitled "APPARATUS AND METHOD
15 FOR VIRTUAL REGISTER MANAGEMENT USING PARTIAL DATA FLOW
ANALYSIS FOR JUST-IN-TIME COMPILATION", _____ (Attorney
Docket No. AUS9-2000-0585) entitled "APPARATUS AND METHOD
FOR AN ENHANCED INTEGER DIVIDE IN AN IA64 ARCHITECTURE",
_____, (Attorney Docket No. AUS9-2000-0586) entitled
20 "APPARATUS AND METHOD FOR CREATING INSTRUCTION GROUPS FOR
EXPLICITLY PARALLEL ARCHITECTURES", and _____ (Attorney
Docket No. AUS9-2000-0587) entitled "APPARATUS AND METHOD
FOR CREATING INSTRUCTION BUNDLES IN AN EXPLICITLY
PARALLEL ARCHITECTURE", filed on even date herewith and
25 hereby incorporated by reference.

BACKGROUND OF THE INVENTION

1. Technical Field:

30 The present invention is directed to an apparatus
and method for avoiding deadlocks in a multithreaded
environment.

2. Description of Related Art:

Java Just-In-Time (JIT) compilers compile Java bytecode to native instructions of a target processor.

5 This can occur as late as when a method is first executed. Java is a multithreaded language and thus, it is possible that two or more threads of execution could simultaneously attempt to execute the same method for the first time in the system. If the method was compiled by
10 both threads then time and space would be wasted. A lock may be used to prevent one thread from compiling a method which has already started to be compiled by another thread. However, improper use of locks may lead to a deadlock situation.

15 For example, when a method is compiled, the JIT compiler may need to resolve some constants which involve calling back into the Java Virtual Machine (JVM). It is possible that more Java code will be executed in the process of resolving the constant, particularly if a
20 class loader is involved. If the process of constant resolution calls the same method that is being compiled then a problem arises because the half-compiled method cannot be executed. Prior art solutions to this deadlock situation typically involve embedding lock acquisition
25 calls in the compiled code and removing them as compilation progresses.

Thus, it would be beneficial to have an apparatus and method for avoiding simultaneous compilation of the same method by two different execution threads. It would
30 further be beneficial to have an apparatus and method for avoiding simultaneous compilation while also avoiding deadlocks in a multithreaded environment.

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The redirection of subsequent calls to the method is facilitated by the setting of pointers in fields of a method block to redirect calls to the JVM interpreter. Once the method is compiled, the fields of the method block are set so that subsequent calls to the method are redirected to the compiled method code. Other features and advantages of the present invention will be described in, or will be apparent to those of ordinary skill in the art in view of, the following detailed description of the preferred embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 is an exemplary block diagram of a distributed data processing system according to the present invention;

Figure 2A is an exemplary block diagram of a data processing system according to the present invention;

Figure 2B is an exemplary block diagram of a data processing system according to the present invention;

Figure 3A is a block diagram illustrates the relationship of software components operating within a computer system that may implement the present invention;

Figure 3B is an exemplary block diagram of a Java Virtual Machine (JVM) according to the present invention;

Figure 4 is an exemplary block diagram illustrating a method block in accordance with the present invention;

Figure 5 is an exemplary block diagram illustrating a lock pool in accordance with the present invention;

Figure 6 is an exemplary block diagram illustrating lock index bits in accordance with the present invention; and

Figure 7 is a flowchart outlining an exemplary operation of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

With reference now to the figures, and in particular with reference to **Figure 1**, a pictorial representation of a distributed data processing system in which the present invention may be implemented is depicted. Distributed data processing system **100** is a network of computers in which the present invention may be implemented. Distributed data processing system **100** contains a network **102**, which is the medium used to provide communications links between various devices and computers connected together within distributed data processing system **100**. Network **102** may include permanent connections, such as wire or fiber optic cables, or temporary connections made through telephone connections.

In the depicted example, a server **104** is connected to network **102** along with storage unit **106**. In addition, clients **108**, **110**, and **112** also are connected to a network **102**. These clients **108**, **110**, and **112** may be, for example, personal computers or network computers. For purposes of this application, a network computer is any computer, coupled to a network, which receives a program or other application from another computer coupled to the network. In the depicted example, server **104** provides data, such as boot files, operating system images, and applications to clients **108-112**. Clients **108**, **110**, and **112** are clients to server **104**. Distributed data processing system **100** may include additional servers, clients, and other devices not shown. In the depicted example, distributed data processing system **100** is the Internet with network **102** representing a worldwide

collection of networks and gateways that use the TCP/IP suite of protocols to communicate with one another. At the heart of the Internet is a backbone of high-speed data communication lines between major nodes or host
5 computers, consisting of thousands of commercial, government, educational, and other computer systems, that route data and messages. Of course, distributed data processing system **100** also may be implemented as a number of different types of networks, such as, for example, an
10 Intranet or a local area network.

Figure 1 is intended as an example, and not as an architectural limitation for the processes of the present invention. The present invention may be implemented in the depicted distributed data processing system or
15 modifications thereof as will be readily apparent to those of ordinary skill in the art.

With reference now to **Figure 2A**, a block diagram of a data processing system which may be implemented as a server, such as server **104** in **Figure 1**, is depicted in
20 accordance to the present invention. Data processing system **200** may be a symmetric multiprocessor (SMP) system including a plurality of processors **202** and **204** connected to system bus **206**. Alternatively, a single processor system may be employed. Also connected to system bus **206**
25 is memory controller/cache **208**, which provides an interface to local memory **209**. I/O Bus Bridge **210** is connected to system bus **206** and provides an interface to I/O bus **212**. Memory controller/cache **208** and I/O Bus Bridge **210** may be integrated as depicted.
30 Peripheral component interconnect (PCI) bus bridge **214** connected to I/O bus **212** provides an interface to PCI

5 **Figure 1** may be provided through modem **218** and network adapter **220** connected to PCI local bus **216** through add-in boards.

Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 2A** may vary. For example, other peripheral devices, such as optical disk drive and the like also may be used in addition or in place of the hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention.

The data processing system depicted in **Figure 2A** may be, for example, an IBM RISC/System 6000 system, a product of International Business Machines Corporation in Armonk, New York, running the Advanced Interactive Executive (AIX) operating system.

With reference now to **Figure 2B**, a block diagram of a data processing system in which the present invention may be implemented is illustrated. Data processing system **250** is an example of a client computer. Data processing system **250** employs a peripheral component

interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures such as Micro Channel and ISA may be used. Processor **252** and main memory **254** are connected to PCI local bus **256** through PCI Bridge **258**. PCI Bridge **258** also may include an integrated memory controller and cache memory for processor **252**. Additional connections to PCI local bus **256** may be made through direct component interconnection or through add-in boards. In the depicted example, local area network (LAN) adapter **260**, SCSI host bus adapter **262**, and expansion bus interface **264** are connected to PCI local bus **256** by direct component connection. In contrast, audio adapter **266**, graphics adapter **268**, and audio/video adapter (A/V) **269** are connected to PCI local bus **256** by add-in boards inserted into expansion slots. Expansion bus interface **264** provides a connection for a keyboard and mouse adapter **270**, modem **272**, and additional memory **274**. SCSI host bus adapter **262** provides a connection for hard disk drive **276**, tape drive **278**, and CD-ROM **280** in the depicted example. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

An operating system runs on processor **252** and is used to coordinate and provide control of various components within data processing system **250** in **Figure 2B**. The operating system may be a commercially available operating system such as OS/2, which is available from International Business Machines Corporation.

An object oriented programming system such as Java may run in conjunction with the operating system and may

0 The present invention provides an apparatus and
method for avoiding deadlocks in a multithreaded
environment. Although the present invention may operate
on a variety of computer platforms and operating systems,
it may also operate within a Java runtime environment.
25 Hence, the present invention may operate in conjunction
with a Java virtual machine (JVM) yet within the
boundaries of a JVM as defined by Java standard
specifications. In order to provide a context for the
present invention, portions of the operation of a JVM
30 according to Java specifications are herein described.

With reference now to **Figure 3A**, a block diagram illustrates the relationship of software components

operating within a computer system that may implement the present invention. Java-based system **300** contains platform specific operating system **302** that provides hardware and system support to software executing on a specific hardware platform. JVM **304** is one software application that may execute in conjunction with the operating system. JVM **304** provides a Java run-time environment with the ability to execute Java application or applet **306**, which is a program, servlet, or software component written in the Java programming language. The computer system in which JVM **304** operates may be similar to data processing system **200** or computer **100** described above. However, JVM **304** may be implemented in dedicated hardware on a so-called Java chip, Java-on-silicon, or Java processor with an embedded picoJava core. At the center of a Java run-time environment is the JVM, which supports all aspects of Java's environment, including its architecture, security features, mobility across networks, and platform independence.

The JVM is a virtual computer, i.e. a computer that is specified abstractly. The specification defines certain features that every JVM must implement, with some range of design choices that may depend upon the platform on which the JVM is designed to execute. For example, all JVMs must execute Java bytecodes and may use a range of techniques to execute the instructions represented by the bytecodes. A JVM may be implemented completely in software or somewhat in hardware. This flexibility allows different JVMs to be designed for mainframe computers and PDAs.

The JVM is the name of a virtual computer component that actually executes Java programs. Java programs are

not run directly by the central processor but instead by the JVM, which is itself a piece of software running on the processor. The JVM allows Java programs to be executed on a different platform as opposed to only the one platform for which the code was compiled. Java programs are compiled for the JVM. In this manner, Java is able to support applications for many types of data processing systems, which may contain a variety of central processing units and operating systems architectures. To enable a Java application to execute on different types of data processing systems, a compiler typically generates an architecture-neutral file format - the compiled code is executable on many processors, given the presence of the Java run-time system.

The Java compiler generates bytecode instructions that are nonspecific to a particular computer architecture. A bytecode is a machine independent code generated by the Java compiler and executed by a Java interpreter. A Java interpreter is part of the JVM that alternately decodes and interprets a bytecode or bytecodes. These bytecode instructions are designed to be easy to interpret on any computer and easily translated on the fly into native machine code.

A JVM must load class files and execute the bytecodes within them. The JVM contains a class loader, which loads class files from an application and the class files from the Java application programming interfaces (APIs) which are needed by the application. The execution engine that executes the bytecodes may vary across platforms and implementations.

One type of software-based execution engine is a Just-In-Time (JIT) compiler. With this type of

When an application is executed on a JVM that is implemented in software on a platform-specific operating system, a Java application may interact with the host operating system by invoking native methods. A Java method is written in the Java language, compiled to bytecodes, and stored in class files. A native method is written in some other language and compiled to the native machine code of a particular processor. Native methods are stored in a dynamically linked library whose exact form is platform specific.

With reference now to **Figure 3B**, a block diagram of a JVM is depicted in accordance with a preferred embodiment of the present invention. JVM **350** includes a class loader subsystem **352**, which is a mechanism for loading types, such as classes and interfaces, given fully qualified names. JVM **350** also contains runtime data areas **354**, execution engine **356**, native method interface **358**, and memory management **374**. Execution engine **356** is a mechanism for executing instructions contained in the methods of classes loaded by class loader subsystem **352**. Execution engine **356** may be, for

example, Java interpreter **362** or just-in-time compiler **360**. Native method interface **358** allows access to resources in the underlying operating system. Native method interface **358** may be, for example, a Java native interface.

Runtime data areas **354** contain native method stacks **364**, Java frames **366**, PC registers **368**, method area **370**, and heap **372**. These different data areas represent the organization of memory needed by JVM **350** to execute a program.

Java frames **366** are used to store the state of Java method invocations. When a new thread is launched, the JVM creates a new Java stack from which the thread will allocate Java Frames. A thread is a part of a program, i.e. a transaction or message, that can execute independently of other parts. In a multithreaded environment, multiple streams of execution may take place concurrently within the same program, each stream processing a different transaction or message.

A Java frame contains all the information pertaining to a single method invocation and is commonly partitioned into three regions. The first region holds all local variables including the input parameters. The second region is typically fixed in size and contains various pointers used by the interpreter including a pointer to the previous frame. The third region is the Java operand stack which is a FIFO stack that holds operands and results of bytecode operations. The operand stack is also used to pass parameters during invocation. The JVM performs only two operations directly on Java operand stacks: it pushes and pops stack items. These items may

be object references or primitives such as integers or floating point values.

When the interpreter **362** invokes a Java method, the interpreter **362** saves the return PC, i.e. a bytecode pointer, in the current frame and makes an indirect call via a JVM invoker field in a method block of the Java method, as described in greater detail hereafter. Upon return from the JVM invoker, the interpreter fetches the current frame and resumes execution starting with the bytecode specified in the returnPC field. When an interpreted method completes, the current frame is discarded and the previous frame is made current.

Note that the JVM invoker field will point to different routines depending on the characteristics of the target method. For an interpreted method the JVM invoker routine allocates and initializes a Java frame and sets returnPC to the first bytecode of the called method. The new frame is made current and the JVM invoker returns to the interpreter to execute the method. For native and compiled code, the JVM invoker passes control to the called method and when a return is made to the interpreter the current frame is the same as when the call was made. By fetching the returnPC of the current frame, the interpreter resumes execution of the calling method. The JVM does not have any registers for holding intermediate values; any Java instruction that requires or produces an intermediate value uses the operand stack for holding the intermediate values. In this manner, the Java instruction set is well-defined for a variety of platform architectures.

PC registers **368** are used to indicate the next instruction to be executed. Each instantiated thread

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Method area **370** contains class data while heap **372** contains all instantiated objects. The JVM specification strictly defines data types and operations. Most JVMs choose to have one method area and one heap, each of which are shared by all threads running inside the JVM. When the JVM loads a class file, it parses information about a type from the binary data contained in the class file. It places this type information into the method area. Each time a class instance or array is created, the memory for the new object is allocated from heap **372**.

Memory management **374** in the depicted example manages memory space within the memory allocated to heap **370**. Memory management **374** may include a garbage collector which automatically reclaims memory used by objects that are no longer referenced. Additionally, a garbage collector also may move objects to reduce heap fragmentation.

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The present invention is equally applicable to either a platform specific environment, i.e. a traditional computer application environment loading modules or native methods, or a platform independent environment, such as an interpretive environment, e.g., a Java environment loading classes, methods and the like. The present invention is particularly useful in multithreaded environments as a mechanism for avoiding deadlock situations that may arise due to the nature of the multithreaded environment. For purposes of explanation of the features and advantages of the present invention, the examples of the preferred embodiments of the present invention will assumed that the environment is a Java environment.

15 The present invention provides a mechanism by which simultaneous compilation of a method by two execution threads is avoided while also avoiding any possible deadlock situations that may arise. The present invention may be implemented as part of a Java Virtual Machine (JVM) or as a separate hardware/software component acting in conjunction with the JVM.

20 The present invention makes use of method block fields for redirecting calls of a method that arrive subsequent to a first call to the method. A method block is a control block data structure used to represent control parameters of a Java method. The use of the method block fields in the manner described hereafter allows simultaneous compilation and execution via the JVM interpreter. Once a method is fully compiled then further callers will use the newly compiled code.

30 With the present invention, the method block is provided with an invoker field which, before the JIT

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compiler itself is loaded, points to a standard JVM invoker which functions in conjunction with the interpreter. Once the JIT compiler has been loaded, all the invoker fields for newly loaded and existing methods
5 that are candidates for JIT compilation are changed to point to the JIT compiler routine CompileThisMethod, thereby indicating that the method should be compiled. Whereas all Java methods are potential candidates for JIT compilation, native methods are not. Furthermore, certain
10 Java methods such as class initializers that are run only once may be poor candidates for JIT compilation because unless they contain loops it is surely more efficient to interpret them than to compile and execute them. Once a method is compiled, the invoker field points to a routine
15 which will call the compiled code.

The present invention involves the use of a method block having an invoker field for any thread coming from the interpreter and another invoker field for calls from JITted code. On entry to the CompileThisMethod function,
20 the thread locks the method block and determines if compilation has started for this method. If it has not, then the method is marked as being in the process of being compiled and the JVM invoker and JIT invoker fields for the method are changed to indicate that the method
25 should be interpreted. The lock is then released. Subsequent threads will go directly to the interpreter and will interpret the method while the original thread compiles the method. Once the method has been compiled, the compiling thread then reacquires the lock for the
30 method block, marks the method as having been compiled, and changes the JVM invoker to a JVM to JIT transition routine and changes the JIT invoker to point to the newly

compiled code.

There is a small window in which two threads can both enter the CompileThisMethod invoker. The first thread will get the lock and proceed as above. The second thread will get the lock after the first thread has changed the invoker and begun compiling the method. By this point the invokers will have been changed to point to the interpreter. The second thread will see from the flags that the method is in the process of being compiled so that the second thread does not attempt to compile the method but instead, merely releases the lock and executes the invoker which points back to the interpreter. Note that it is possible that the compilation could be finished by the time the second thread loads the invoker field in which case it would execute the compiled code.

Figure 4 is an exemplary block diagram illustrating a method block in accordance with the present invention. As shown in **Figure 4**, the method block **400** includes a JVM Original Invoker field **410**, a JVM Invoker field **420**, a JIT Invoker field **430**, and JIT flags field **440**.

The JVM Original Invoker field **410** is used to point to the original invoker of the method with which the method block is associated. The JVM invoker field **420** has three possible pointer settings: standard invoker code for the interpreter; CompileThisMethod from JVM; and JVM to JITted code (JVM to JIT). Before the JIT compiler is loaded, the JVM invoker field **420** is set to the standard invoker code for the interpreter. Once the JIT compiler is loaded, the original value of the JVM invoker field is saved in JVM Original Invoker field **410** and the JVM invoker field **420** is changed to point to the

The JIT invoker field **430** is used when invoking a method from Jitted code and has three possible settings: JITTed code to JVM (JIT to JVM); CompileThisMethod from Jitted Code; and JITTed code. Before the JIT compiler is loaded field **430** is not used. While the method is compiled, the JIT invoker field **430** is set to point to CompileThisMethod from JITTed code. The CompileThisMethod from JITTed code calls the compiler with appropriate parameters to identify the called method. Once the method is compiled, the JIT invoker field **430** is set to point to the JITTed code.

On entry to the `CompileThisMethod` function, a thread

Once the method has been compiled, the compiling thread then gets the lock for the method block and changes the JVM invoker field **420** to the JVM to JITted code transition routine and changes the JIT invoker field **430** to point to the newly compiled JITted code.

Alternatively, a global lock could be used or an array of locks may be used where the precise lock to be used is selected based on a hash function of the method block address or an identifier held in the method block, for example. Because the computation time while holding

5 **Figure 5** is an exemplary block diagram illustrating
a simple array of a locking pool in accordance with the
present invention. The locking pool consists of a
plurality of locks, lock 0-lock n, having indices into
the array 0-n. One simple hashing function uses the
10 lowest n significant bits (ignore zero bits due to
alignment) of the method block address as an index into
the locking pool, where there are 2^n locks.

Figure 6 is an exemplary block diagram of a method block address in accordance with the present invention. As shown in **Figure 6**, the address may be of any length but is typically either 32 or 64 bits in length. A portion of the address, starting at the first significant bit *n* and ending at a second bit *x*, is used to provide a index into the lock pool. The length of the index in the address is dependent on the number of locks used in the system.

Figure 7 is a flowchart outlining an exemplary operation of the present invention when a thread calls a method. As shown in **Figure 7**, the operation starts with acquiring a lock on the method block (step **710**). A determination is then made as to whether or not compilation of the method has been started (step **720**). If so, the lock is released (step **725**). If not, the JVM invoker field is set to point to the JVM original invoker field, the JIT invoker field is set to point to a JIT to JVM routine, and the JIT flag is set to a "started" state (step **730**). The lock on the method block is then

Thus, the present invention provides a mechanism by which deadlocks in a multithreaded environment may be avoided. The present invention allows multiple threads to call a method simultaneously without experiencing the problems associated with the prior art regarding multiple compilations of the same method or the use of imbedded locks in the compiled code. With the present invention, the first thread to call a method is the thread that causes the method to be compiled. Subsequent calls to the method, during a period of time in which the method is being compiled, are redirected to the JVM interpreter, thereby avoiding any deadlock situation.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and transmission-type

media such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration and description, but is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

1. A method of calling a portion of computer code in a multithreaded environment, comprising:

redirecting the call to an interpreter, if the portion of computer code is currently being compiled.

3. The method of claim 1, wherein redirecting the call to an interpreter includes redirecting the call to a Java Virtual Machine Interpreter such that the portion of computer code is interpreted by the Java Virtual Machine Interpreter in response to receiving the call to the portion of computer code.

4. The method of claim 1, wherein determining if the portion of computer code is currently being compiled includes determining a setting of a flag in a control block of the portion of computer code.

5. The method of claim 1, wherein the step of redirecting the call is performed in response to a Just-In-Time (JIT) invoker field, in a control block of the portion of computer code, pointing to a JIT to Java Virtual Machine (JVM) routine.

8. The method of claim 1, wherein the portion of computer code is a Java method having an associated method block and wherein the steps of determining if the portion of computer code is currently being compiled and redirecting the call are performed based on information stored in fields of the method block.

30

10. The method of claim 8, wherein the method block includes a field having a pointer that points to a

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Just-In-Time (JIT) compiler routine CompileThisMethod when the JIT compiler is loaded and points to a compiled version of the method when compilation of the method by the JIT compiler is complete.

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11. An apparatus for calling a portion of computer code in a multithreaded environment, comprising:

receiving means for receiving a call to the portion of computer code;

10 first determination means for determining if the portion of computer code is currently being compiled; and

first redirection means for redirecting the call to an interpreter, if the portion of computer code is currently being compiled.

15

12. The apparatus of claim 11, wherein the portion of computer code is a Java method.

20

13. The apparatus of claim 11, wherein the first redirection means redirects the call to a Java Virtual Machine Interpreter such that the portion of computer code is interpreted by the Java Virtual Machine Interpreter in response to receiving the call to the portion of computer code.

25

14. The apparatus of claim 11, wherein the first determination means determines a setting of a flag in a control block of the portion of computer code.

30

15. The apparatus of claim 11, wherein the first redirection means redirects the call in response to a Just-In-Time (JIT) invoker field, in a control block of

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the portion of computer code, pointing to a JIT to Java Virtual Machine (JVM) routine.

5 second determination means for determining if
compilation of the portion of computer code has ended;
and

```
10  compilation of the portion of computer code has ended.
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15 setting a Just-In-Time (JIT) invoker field, in a control
 block of the portion of computer code, to point to the
 compiled version of the portion of computer code.

20 computer code is a Java method having an associated
method block and wherein the determination means
determines if the portion of computer code is currently
being compiled and the redirection means redirects the
call based on information stored in fields of the method
25 block.

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30 Just-In-Time (JIT) compiler is loaded, points to a JIT
   compiler routine CompileThisMethod when the JIT compiler
   is loaded, and points to a routine which calls a compiled
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second instructions for determining if the portion of computer code is currently being compiled; and

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22. The computer program product of claim 21, wherein the portion of computer code is a Java method.

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24. The computer program product of claim 21, wherein the second instructions for determining if the portion of computer code is currently being compiled includes instructions for determining a setting of a flag in a control block of the portion of computer code.

25. The computer program product of claim 21, wherein the third instructions for redirecting the call to the portion of computer code are executed in response to a Just-In-Time (JIT) invoker field, in a control block of the portion of computer code, pointing to a JIT to Java Virtual Machine (JVM) routine.

26. The computer program product of claim 21, further comprising:

fourth instructions for determining if compilation of the portion of computer code has ended; and

fifth instructions for redirecting the call to a compiled version of the portion of computer code if the compilation of the portion of computer code has ended.

27. The computer program product of claim 26, wherein the fifth instructions for redirecting the call to a compiled version of the portion of computer code are executed in response to setting a Just-In-Time (JIT) invoker field, in a control block of the portion of computer code, to point to the compiled version of the portion of computer code.

28. The computer program product of claim 21, wherein the portion of computer code is a Java method having an associated method block and wherein the second

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29. The computer program product of claim 28, wherein
5 the method block includes a field that includes a pointer
that points to a Java Virtual Machine (JVM) interpreter
before a Just-In-Time (JIT) compiler is loaded, points to
a JIT compiler routine `CompileThisMethod` when the JIT
compiler is loaded, and points to a routine which calls a
10 compiled version of the method once the method is
compiled by the JIT compiler.

30. The computer program product of claim 28, wherein the method block includes a field having a pointer that points to a Just-In-Time (JIT) compiler routine CompileThisMethod when the JIT compiler is loaded and points to a compiled version of the method when compilation of the method by the JIT compiler is complete.

APPARATUS AND METHOD FOR AVOIDING DEADLOCKS IN A MULTITHREADED ENVIRONMENT

An apparatus and method for avoiding deadlocks in a multithreaded environment is provided. The apparatus and method provide a mechanism by which multiple threads are allowed to call a method virtually simultaneously without experiencing the problems regarding multiple compilations of the same method or a lock being held by one thread while other threads wait on the lock. With the apparatus and method, the first thread to call a method is the thread that causes the method to be compiled. Subsequent calls to the method, during a period of time in which the method is being compiled, are redirected to the JVM interpreter, thereby avoiding any deadlock situation.



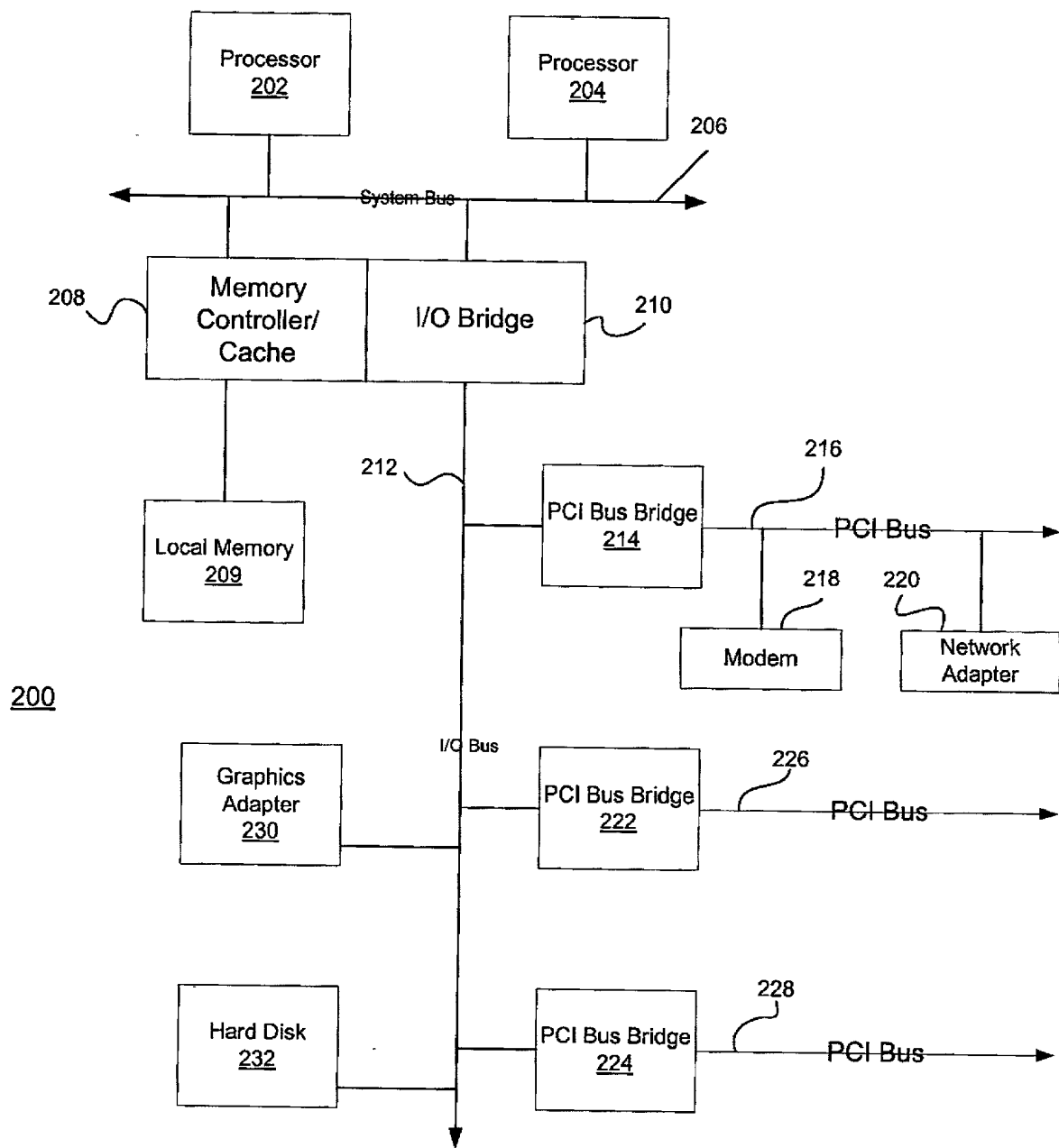
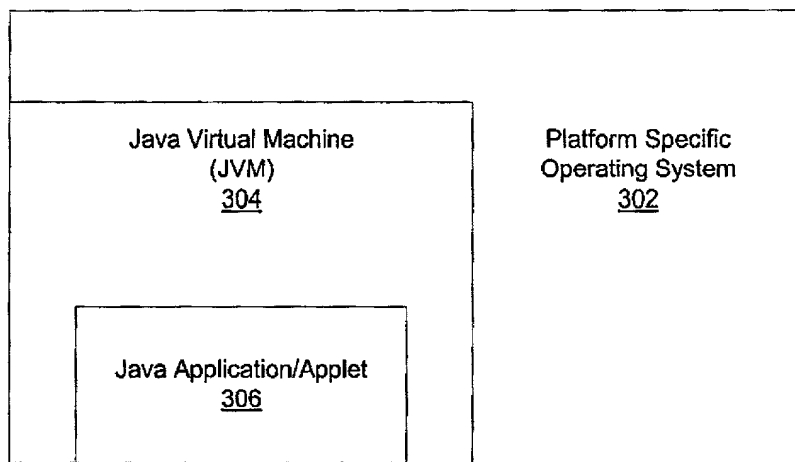


Figure 2A

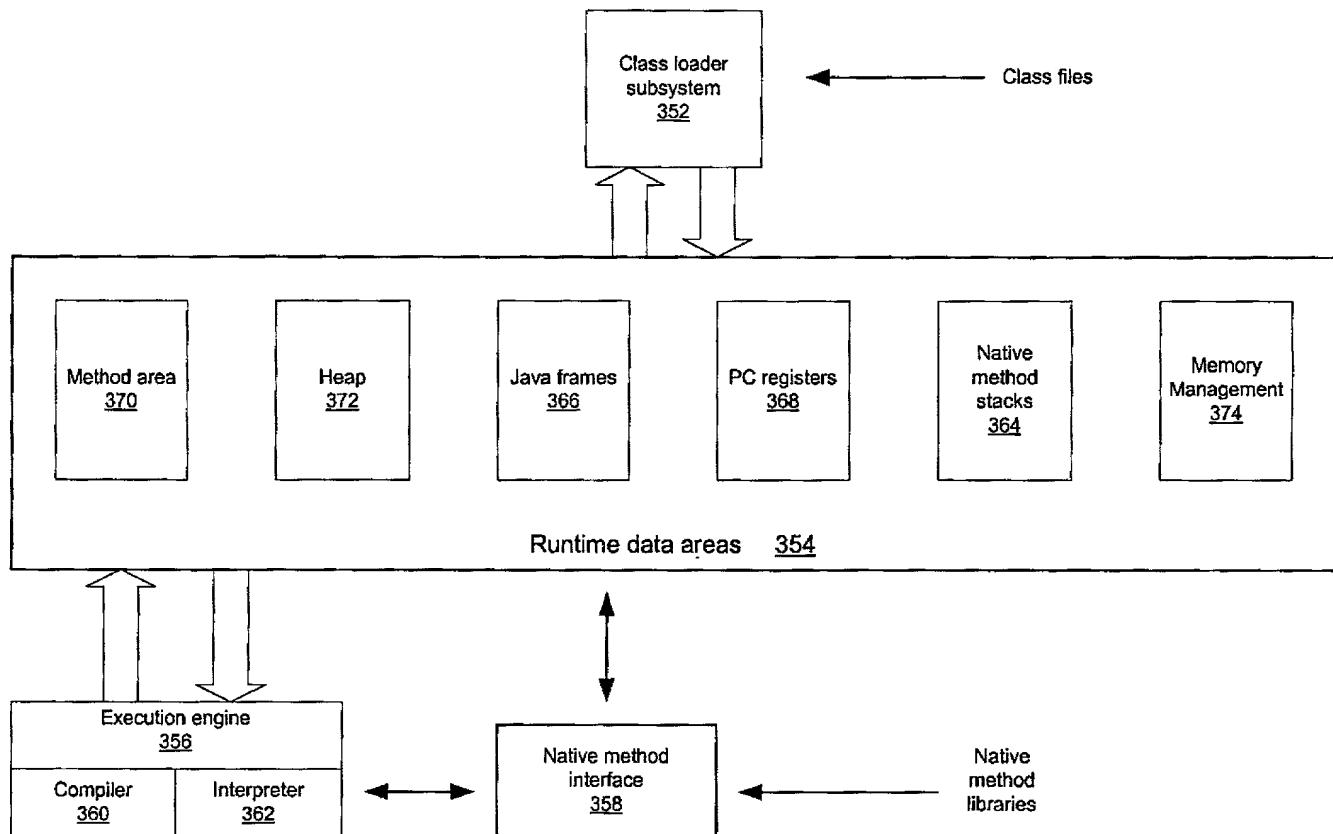
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Figure 3A

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Figure 3B

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Method Block
400

JVM Original Invoker
410

JVM Invoker
420

JIT Invoker
430

JIT Flags
440

Figure 4

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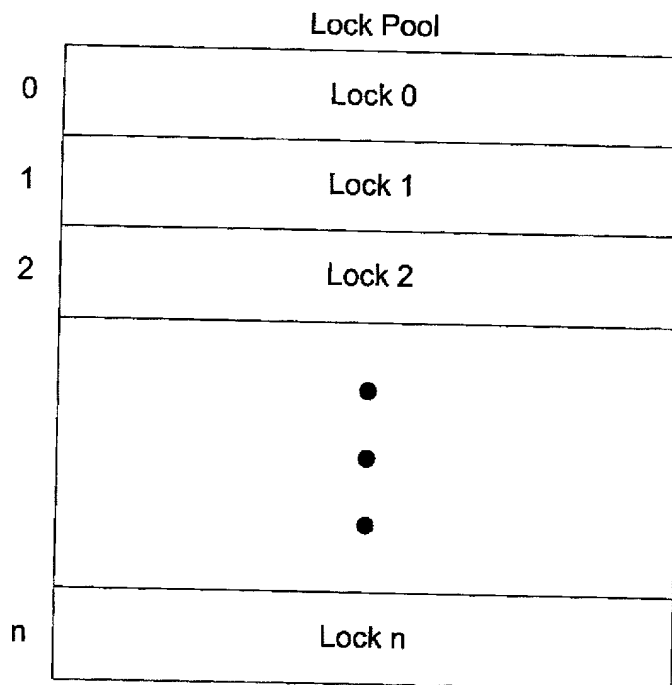


Figure 5

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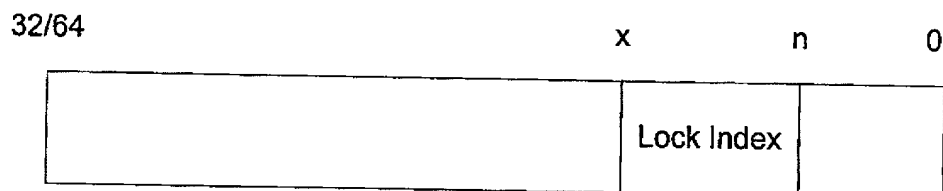
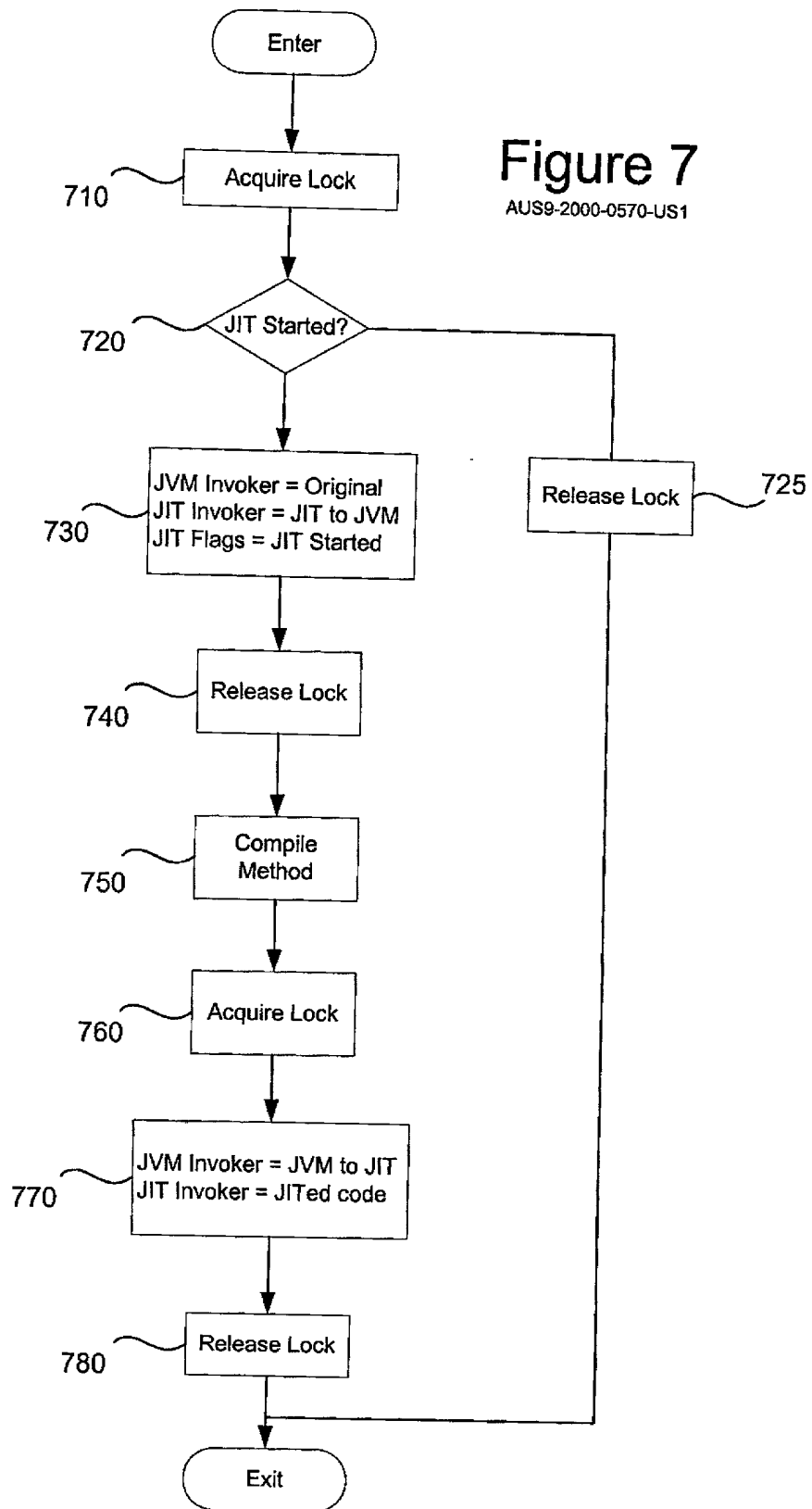


Figure 6

AUS9-2000-0570-US1



As a below named inventor, I hereby declare that:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which (check one)

— was filed on _____
as Application Serial No. _____
and was amended on _____
(if applicable)

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

Prior Foreign Application(s): _____ Priority Claimed
 _____ Yes _____ No
 (Number) (Country) (Day/Month/Year)

(Application Serial #)	(Filing Date)	(Status)
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true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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